

# 12. Instruction Scheduling

2025 Fall

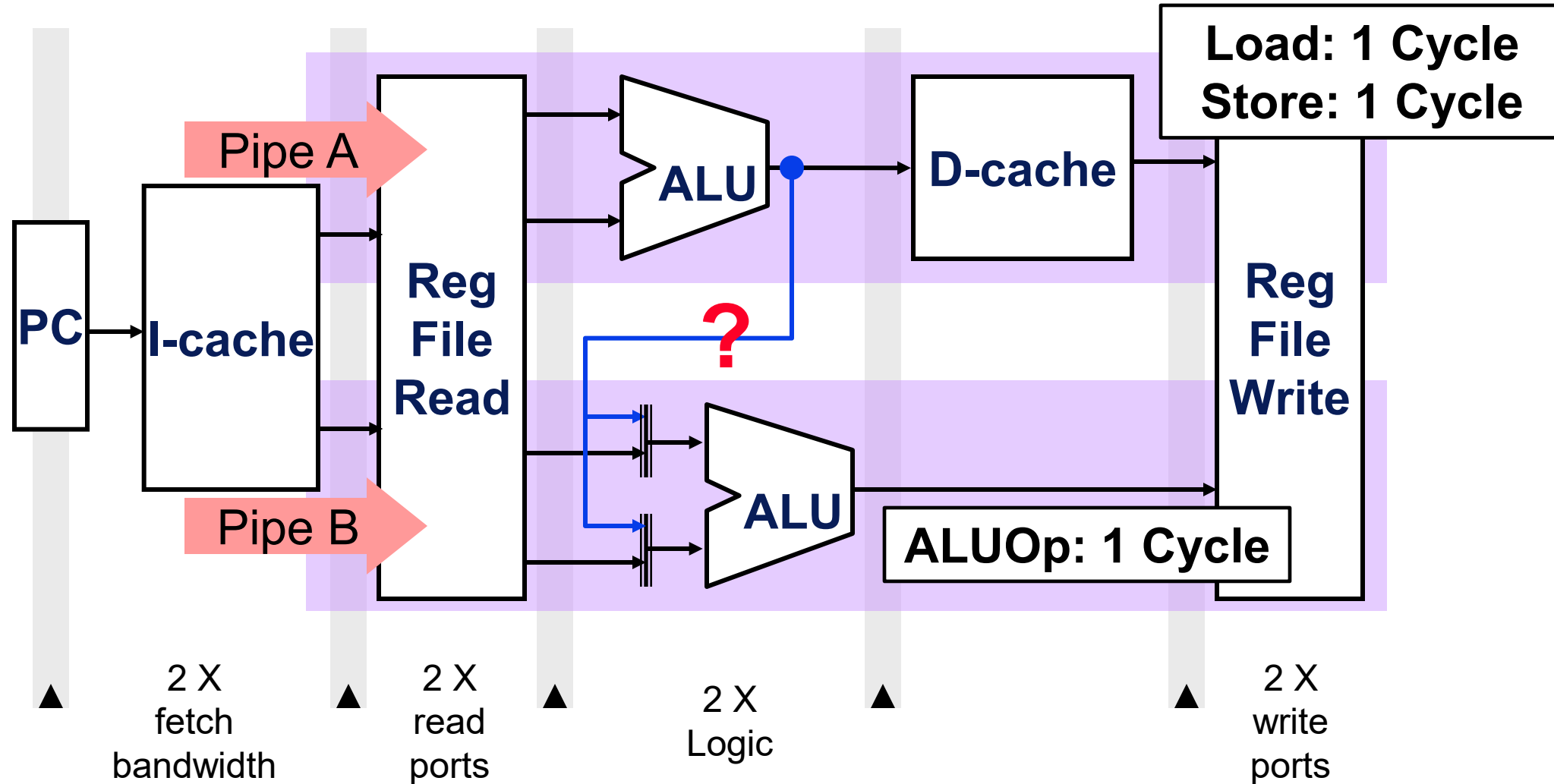
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# Instruction Scheduling

- **There are some opportunities to improve the performance using scheduling and reordering**
- **The instruction scheduling should not affect the functionality**
- **We cannot reschedule all the instructions due to the dependencies**

# CPU Microarchitecture (Superscalar)

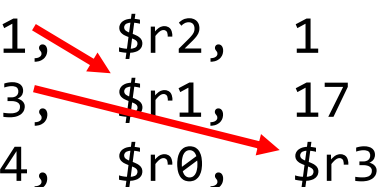


# ILP: Instruction-level parallelism

- **ILP is the parallel or simultaneous execution of a sequence of instructions**
  - Inter-dependent instructions cannot be executed in parallel
- **Program ILP = Avg. # of instructions / Cycle (step)**
  - How many instructions are simultaneously executed in parallel

**code1:**

```
addi $r1, $r2, 1
divi $r3, $r1, 17
sub  $r4, $r0, $r3
```



Max ILP = 1 (execute serially)

**code2:**

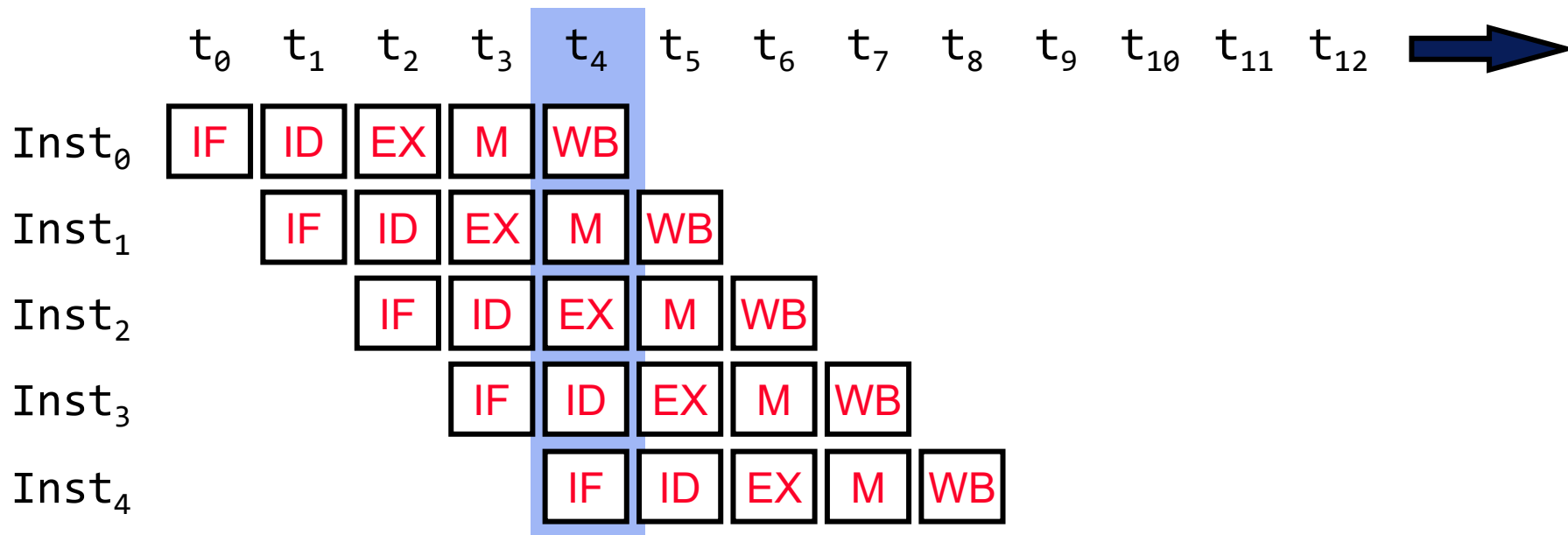
```
addi $r1, $r2, 1
divi $r3, $r9, 17
sub  $r4, $r0, $r10
```

Max ILP = 3 (execute parallel)

# Pipeline + SuperScalar

## ◆ Pipelining: executing multiple instructions in parallel

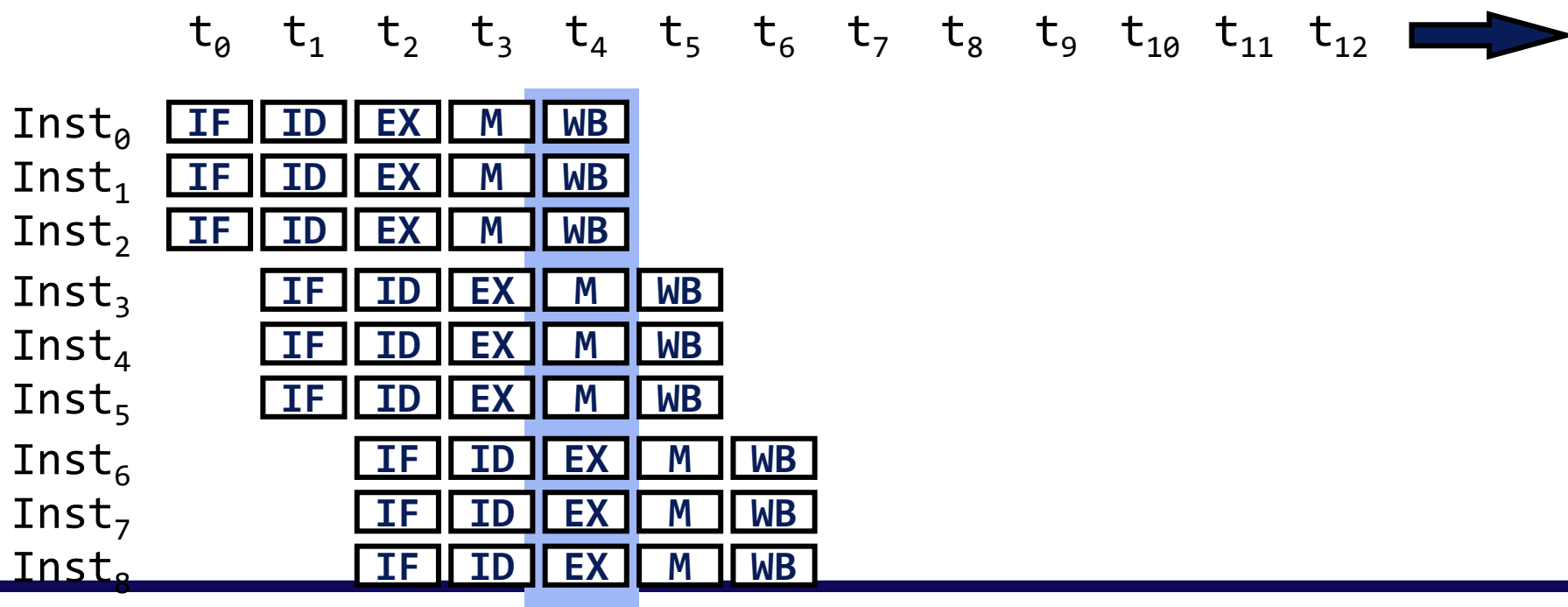
- Operation latency = 1
- Peak IPC = 1
- HW ILP = # of instructions / # of cycles required = 1



# Pipeline + SuperScalar

- Superscalar (+ pipelined) execution

- Operation latency = 1 baseline cycle
- Peak IPC = N per baseline cycle
- HW ILP = # of instructions / # of cycles required = N



# Hazards in the dual-issue CPU

- More instructions are executed in parallel
- EX data hazard
  - Can't use ALU result in load/store in same packet

Slot 0 { add **\$t0**, \$s0, \$s1

Slot 1 { load \$s2, 0(**\$t0**)

- Load-use hazard
  - Still one cycle use latency

Slot 0 { load **\$t0**, 0(\$s0)

Slot 1 { add \$t2, **\$t0**, \$s1

↘ 1 cycle stall

# Hazards in the dual-issue CPU

- It also suffers from false dependencies
- **Write after write hazard**
  - The two packed instructions cannot write to the same register

load **\$t0**, 0(\$s0)

add **\$t0**, \$t1, \$s1

# Scheduling Constraints

- **Resource Constraints**

- Processors have finite number of resources → Limits on how these resources can be used together
  - Fixed issue width (4 ~ 8 instructions)
  - Limited functional units per given instruction type
  - Limited pipelining with a given functional unit (division?)

- **Program Constraints (Dependence, Precedence ...)**

- There are ordering relationships in the program
  - Dependence #1: Data Dependence
  - Dependence #2: Control Dependence
- There are aggressive scheduling techniques to overcome the dependency

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# Finite Issue Width

- In a superscalar machine  $\rightarrow$  we cannot issue more than  $N$  different instructions within a cycle

	$t_0$	$t_1$	$t_2$	$t_3$	$t_4$	$t_5$
$\text{Inst}_0$	IF	ID	EX	M	WB	
$\text{Inst}_1$	IF	ID	EX	M	WB	
$\text{Inst}_2$	IF	ID	EX	M	WB	
$\text{Inst}_3$		IF	ID	EX	M	WB
$\text{Inst}_4$		IF	ID	EX	M	WB
$\text{Inst}_5$		IF	ID	EX	M	WB

## Superscalar Parallelism

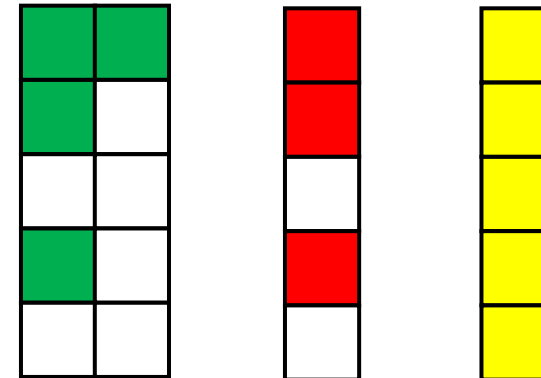
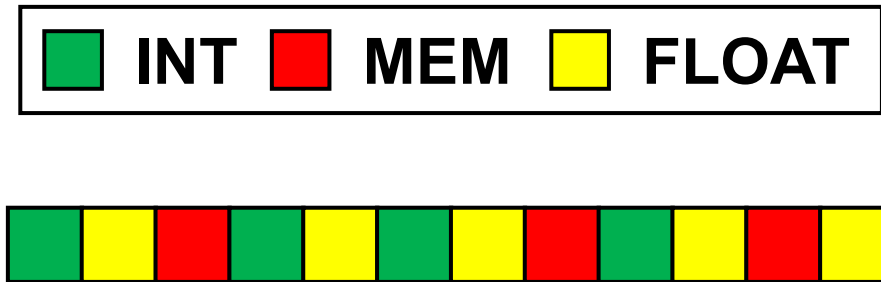
Operation Latency: 1

Issuing Rate:  $N$

Superscalar Degree:  $N$

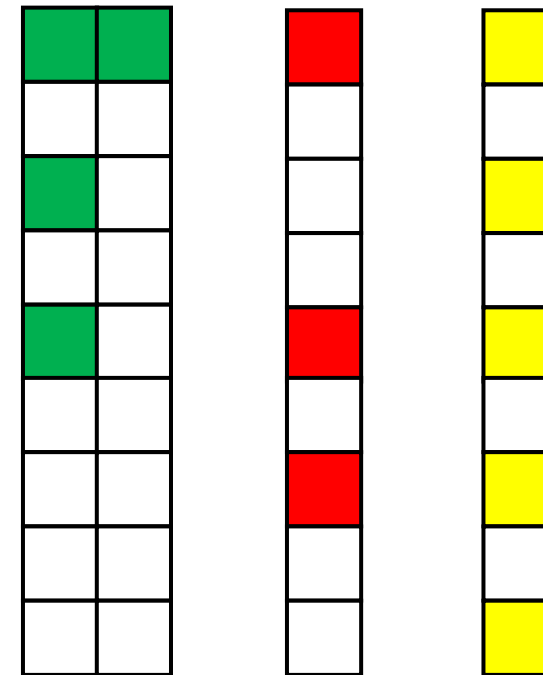
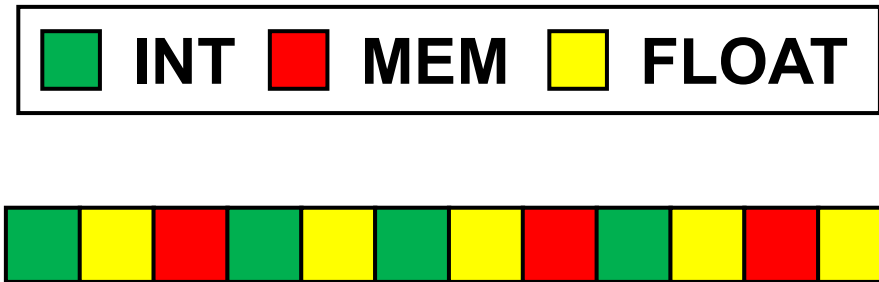
# Limited FUs per Inst. Type

- We cannot issue an instruction for a given functional unit if it is fully utilized
  - Ex) 4-way superscalar with 2 integer units, 1 memory units, and 1 floating-point units



# Limited FUs per Inst. Type

- Another requirement: A floating-point operation takes two cycles



# Scheduling Constraints

- **Resource Constraints**

- Processors have finite number of resources → Limits on how these resources can be used together
  - Fixed issue width (4 ~ 8 instructions)
  - Limited functional units per given instruction type
  - Limited pipelining with a given functional unit (division?)

- **Program Constraints (Dependence, Precedence ...)**

- There are ordering relationships in the program
  - Dependence #1: Data Dependence
  - Dependence #2: Control Dependence
- There are aggressive scheduling techniques to overcome the dependency

# Dependencies Limit Parallelization

- We cannot execute consecutive instructions in parallel upon control and data dependencies

## Data Dependency

Parallel  
Group

```
z = z + 1
x = y + 1
w = x * 10
```

## Control Dependency

Parallel  
Group

```
z = z + 1
x = y + 1
if (cc)
    w = w + 1
```

# Control Dependence

- We cannot parallelize instructions when there is a control dependence
  - We cannot move instruction inside the branch  $b = a * a$

Parallel  
Group

```
z = z + 1
x = y + 1
if (a > t) then {
    b = a * a
}
c = a * d
```

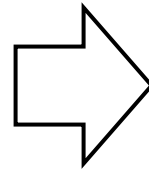
# Overcoming Control Dependence - 1

- **Speculative code motion**

- Move control-dependent instruction ahead of a branch so that it can be executed speculatively in a parallel group

**Parallel  
Group**

```
...  
d = d + 1  
  
if ( a > t ) then {  
    b = a * a  
}  
  
c = a + d
```



**Parallel  
Group**

**b is dead  
after branch**

```
...  
d = d + 1  
b = a * a  
  
if ( a > t ) then {  
    b = a * a  
}  
  
c = a + d
```

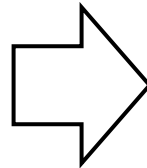
# Overcoming Control Dependence - 2

- **Speculative code motion w/ correction**
  - This is not applicable to store operations (no speculative store)

**Parallel Group**

```
...  
d = d + 1  
  
if ( a > t ) then {  
    b = a * a  
}  
  
c = a + b
```

**b is live**



**Parallel Group**

```
...  
d = d + 1  
b' = a * a  
  
if ( a > t ) then {  
    b = a * a  
    b = b'  
}  
  
c = a + b
```

# Speculative Code Motion Summary

- **Speculative code motion**

- Move control-dependent instruction ahead of a branch so that it can be executed speculatively in a parallel group

- **Effectiveness of speculation**

- Branch taken: GOOD
- Branch not-taken: Nothing to lose
- This should be done to exploit underutilized resources

- **Correctness Problem**

- Liveness, Exception (e.g., division), Permanency (e.g., store)

# Data Dependence

- **Must maintain the order of accesses to the same locations**
  - True dependence: write  $\rightarrow$  read
  - Anti dependence: read  $\rightarrow$  write
  - Output dependence: write  $\rightarrow$  write
- **We cannot move instructions if there is a data dependence**

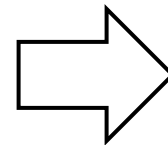
$\begin{aligned} r1 &= r4 + r5 \\ r2 &= r1 + 1 \end{aligned}$
---

# False Data Dependence

- **We can rename registers using copies**
  - Remove data dependence (false dependence) and move the instructions

**Parallel  
Group**

```
r1 = r4 + r5
...
r2 = r1 + 1
r1 = r3 - 2
```



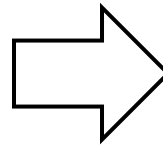
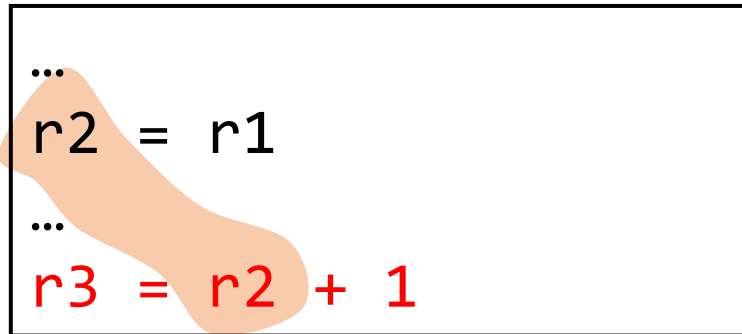
**Parallel  
Group**

```
r1 = r4 + r5
...
r1' = r3 - 2
r2 = r1 + 1
r1 = r1'
```

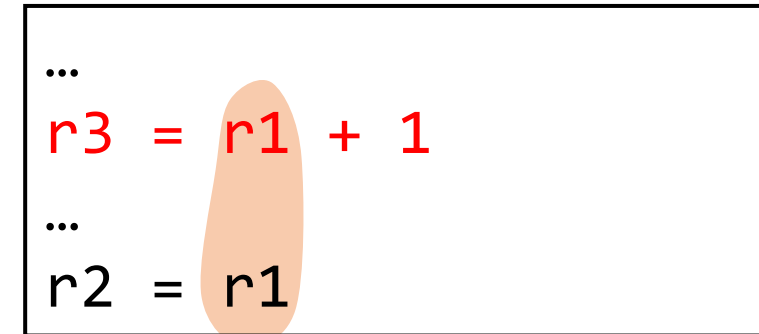
# True Data Dependence

- **We can rename registers using copies**
  - Perform forward substitution to mitigate true dependencies

```
...  
r2 = r1  
...  
r3 = r2 + 1
```



```
...  
r3 = r1 + 1  
...  
r2 = r1
```



# Basic Block Scheduling

- **Basic block scheduling**

- List scheduling
- Interaction between register allocation and scheduling

- **Global scheduling**

- Cross-block code scheduling

- **Software pipelining**

# Virtual CPU Model

- **All registers are read at the beginning of a cycle → and are written at the end of a cycle**
- **Example: The following two instructions can be executed in parallel**
  - `load r2 0(r1)`
  - `add r1 r3, r4`
  - Load will use an old value (before it is written by add)

# List Scheduling

- **The most common technique: scheduling instructions within a basic block**
  - We do not care about control flow ... ~~(covered later)~~
- **We care about ... data dependences and hardware resources**
- **This is an NP-hard problem ☹**

# List Scheduling - 1

- **Input:**

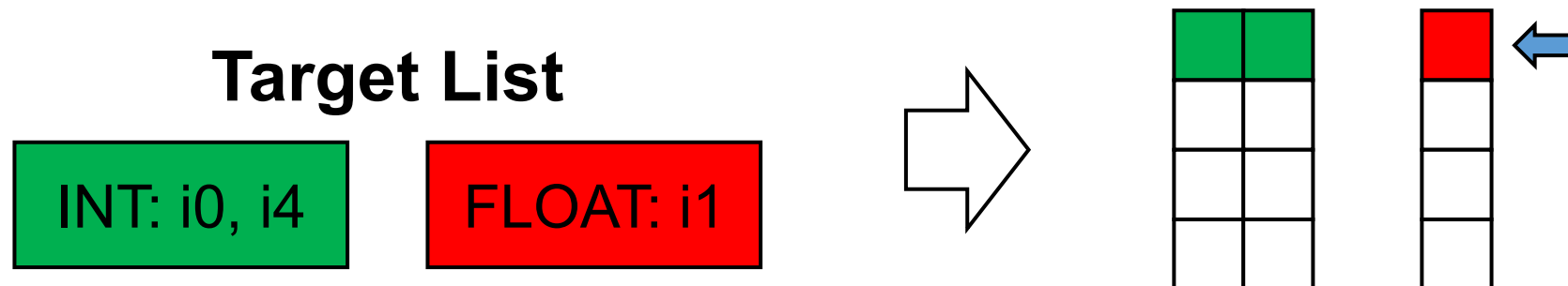
- Data Precedence Graph (DPG): The graph structure of the instructions according to the dependences between instructions
- Machine Parameters: The available resources and execution latency ...

- **Output:**

- The scheduled instruction code (grouped together to maximize the performance)

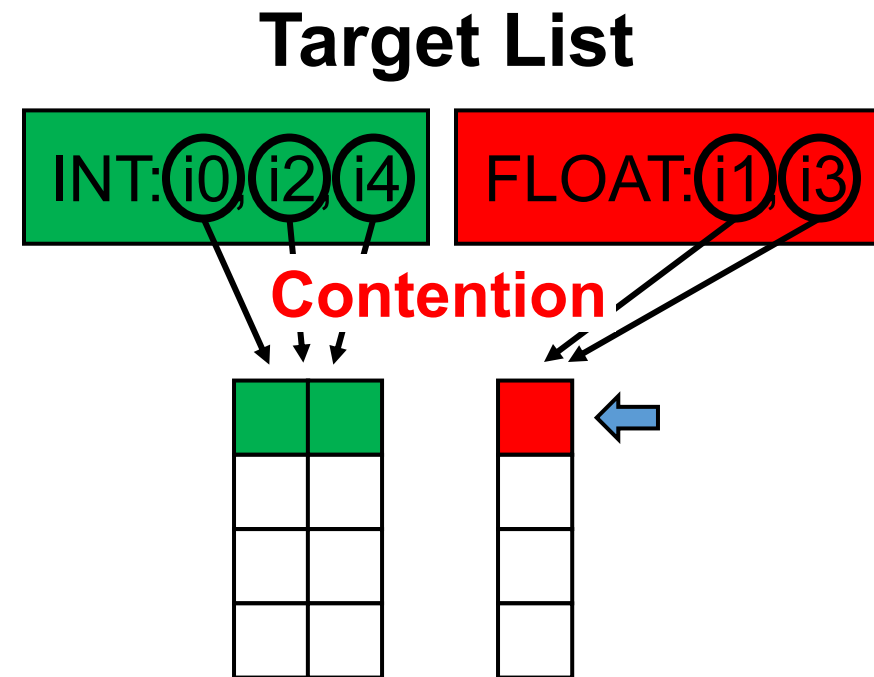
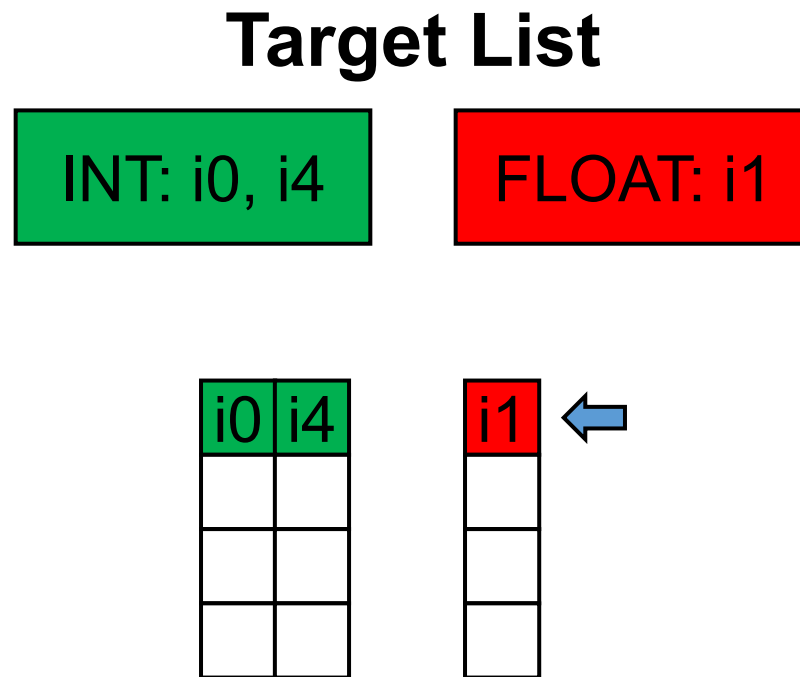
# List Scheduling - 2

- **There is a list to keep the list of ready instructions**
  - Req #1. All the operands are ready to execute (and no false dependences)
  - Req #2. The target resources are available
- **Iteratively conduct scheduling in a cycle-by-cycle manner**
  - Choose target instructions from the list allocate
  - Update the list and iterate over the same procedure again



# Key Challenge

- There is a problem when there are multiple ready instructions, but we do not have enough resources

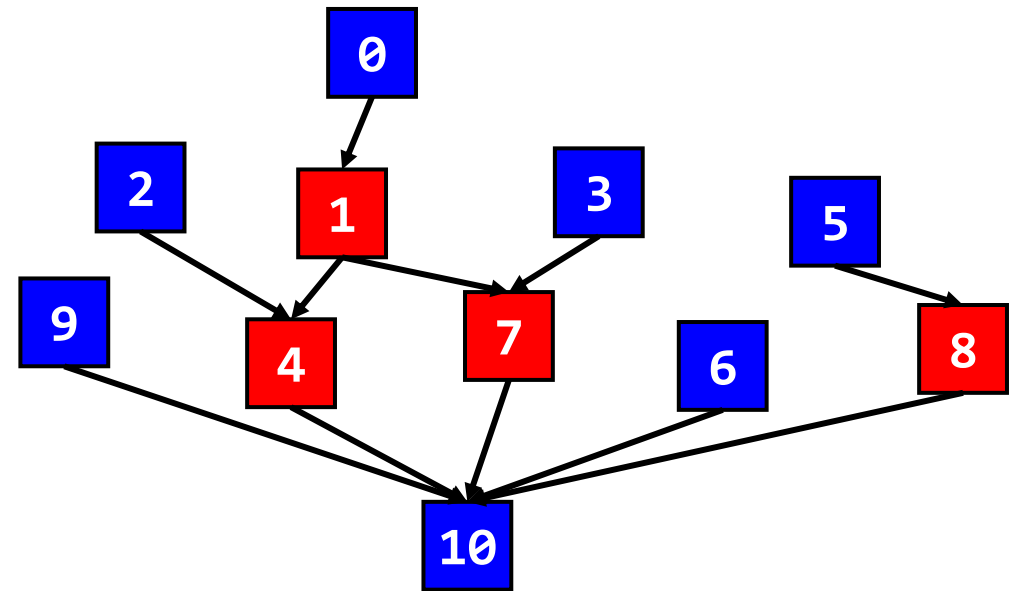


# Data Precedence Graph (DPG) - 1

- **Data dependence graph:**
  - nodes: instructions
  - edges: data dependence constraints

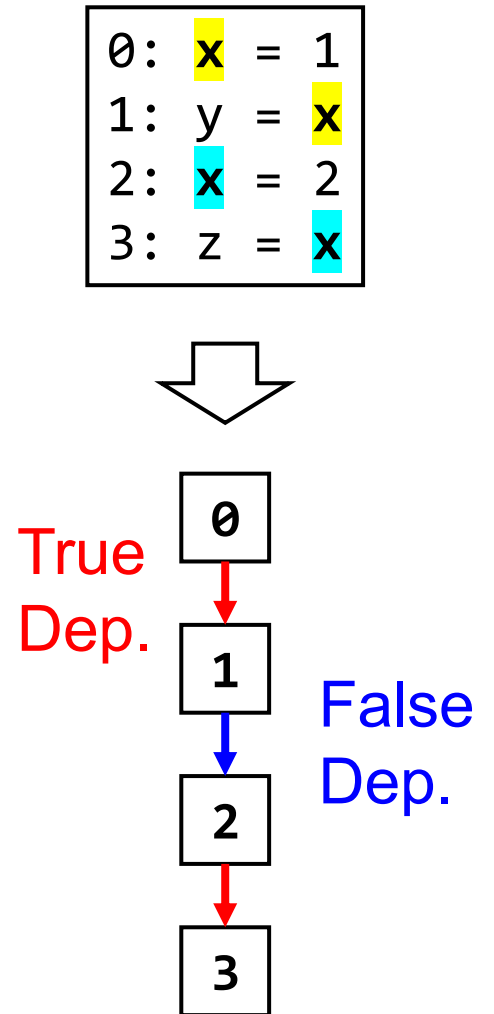
```
0: a = 1
1: f = a + x
2: b = 7
3: c = 9
4: g = f + b
5: d = 13
6: e = 19
7: h = f + c
8: j = d + y
9: z = -1
10: j L1
```

Add: 2 Cycles  
Others: 1 Cycle



# Data Precedence Graph (DPG) - 2

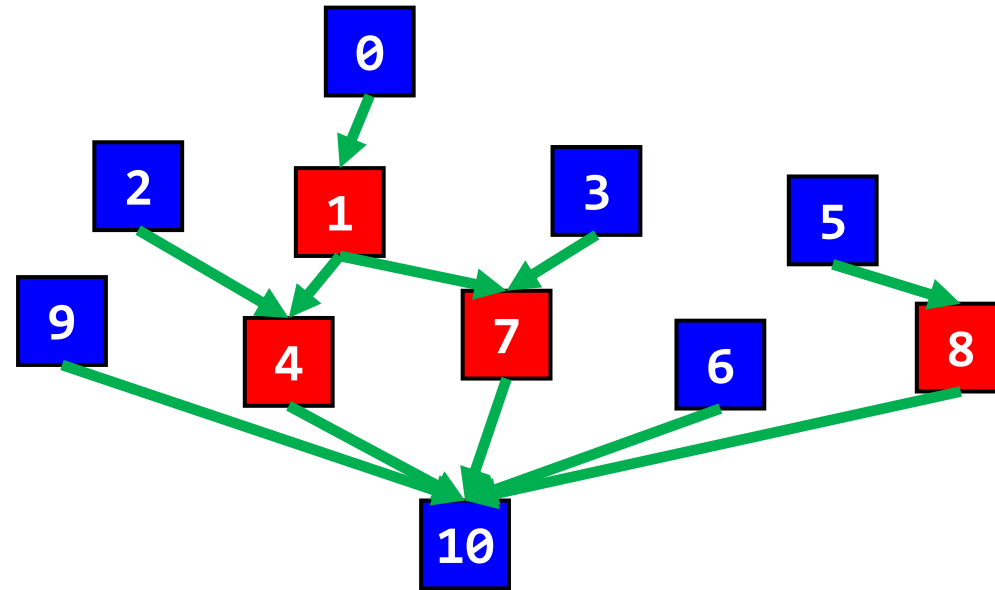
- **There are two types of edges in the DPG**
  - True dependency
  - False dependency
- **Q1. Should we treat the RAW and WAR dependency separately?**
- **Q2. What about WAW dependency?**
  - This should be removed after dead code elimination (within a basic block!)



# Determining Priorities in Contention

- Let's assume that everything is true dependences
- Priority: latency-weighted depth

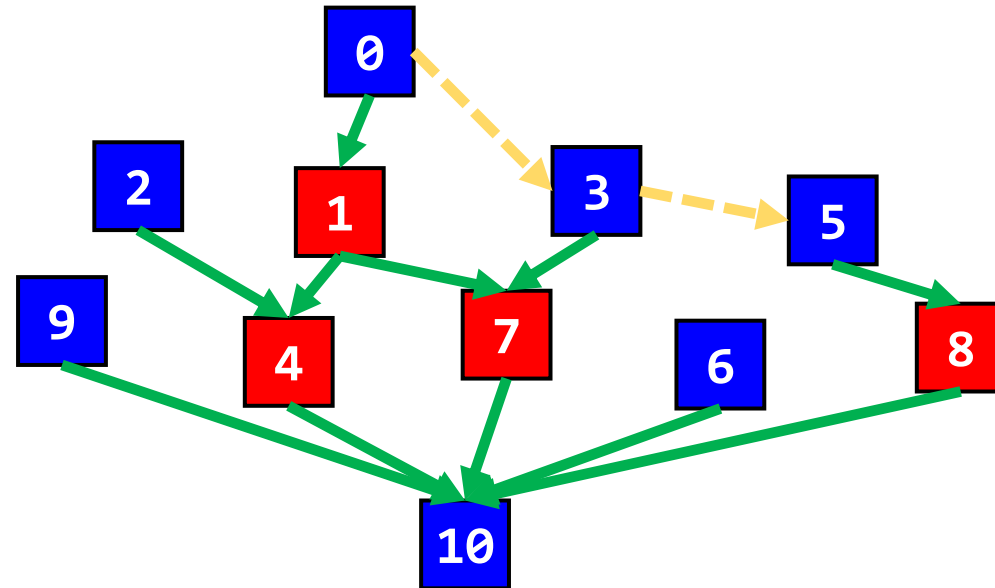
$$priority(x) = latency(x) + \max_{(x,y) \in E} (priority(y))$$



# Determining Priorities in Contention

- Now consider the exact effect of the anti-dependences
  - We can schedule two anti-dependent instruction at once (instead of waiting for the predecessor)

$$priority(x) = \max(\text{latency}(x) + \max_{(x,y) \in E} (priority(y)), \max_{(x,y) \in E'} (priority(y)))$$



# List Scheduling Algorithm

```
cycle = 0
ready-list = root nodes in DPG           // Indicates the ready list
inflight-list = {}                       // Indicates the executing instructions (at the pipeline)

while (ready-list or inflight-list not empty) {
    for op = (all nodes in ready-list in decreasing priority order) {
        if (an FU exists for op to start at cycle) {
            remove op from ready-list and add to inflight-list
            add op to schedule at time cycle
            if (op has an outgoing anti-edge)
                add all targets of op's anti-edges that are ready to ready-list
        }
    }
    cycle = cycle + 1
    for op = (all nodes in inflight-list)
        if (op finishes at time cycle) {
            remove op from inflight-list
            check nodes waiting for op & add to ready-list if all operands available
        }
}
}
```

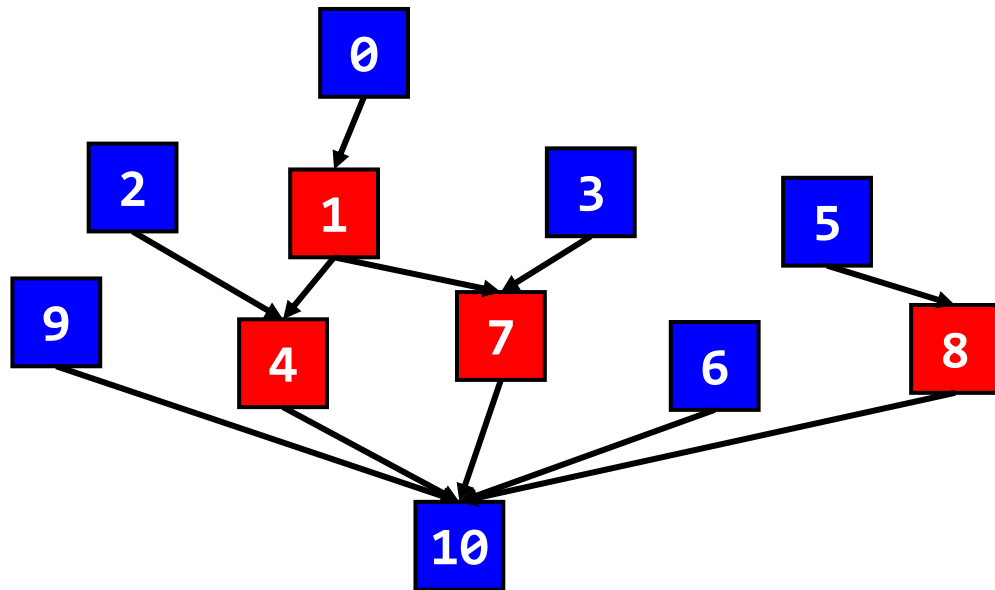
**What is there is a tie?**

# Discussions on Breaking Ties

$$priority(x) = \max(latency(x) + \max_{(x,y) \in E} (priority(y)), \max_{(x,y) \in E'} (priority(y)))$$

Break ties by lower instruction number

## Ready List



**Add** takes two cycles;  
**Others** take one cycles



0	2
1	3
5	6
4	7
8	9
10	

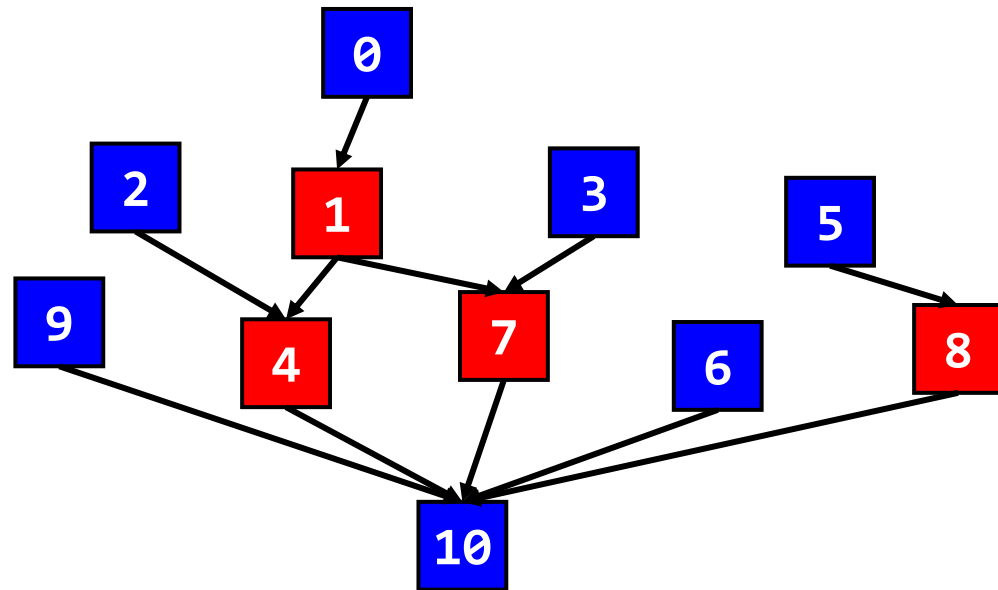
← 0, 2, 3, 5, 6, 9  
 ← 1, 3, 5, 6, 9  
 ← 5, 6, 9  
 ← 4, 7, 8, 9  
 ← 8, 9  
 ←  
 ← 10

# Discussions on Breaking Ties

$$priority(x) = \max(latency(x) + \max_{(x,y) \in E} (priority(y)), \max_{(x,y) \in E'} (priority(y)))$$

What about this?

Ready List



**Add** takes two cycles;  
**Others** take one cycles

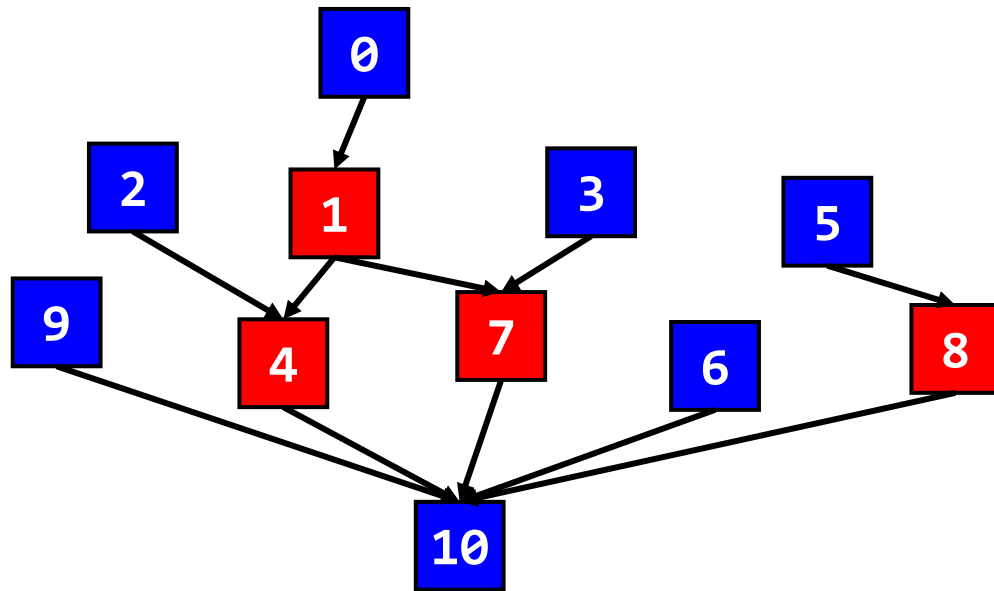


0	2
1	5
3	8
4	7
6	9
10	

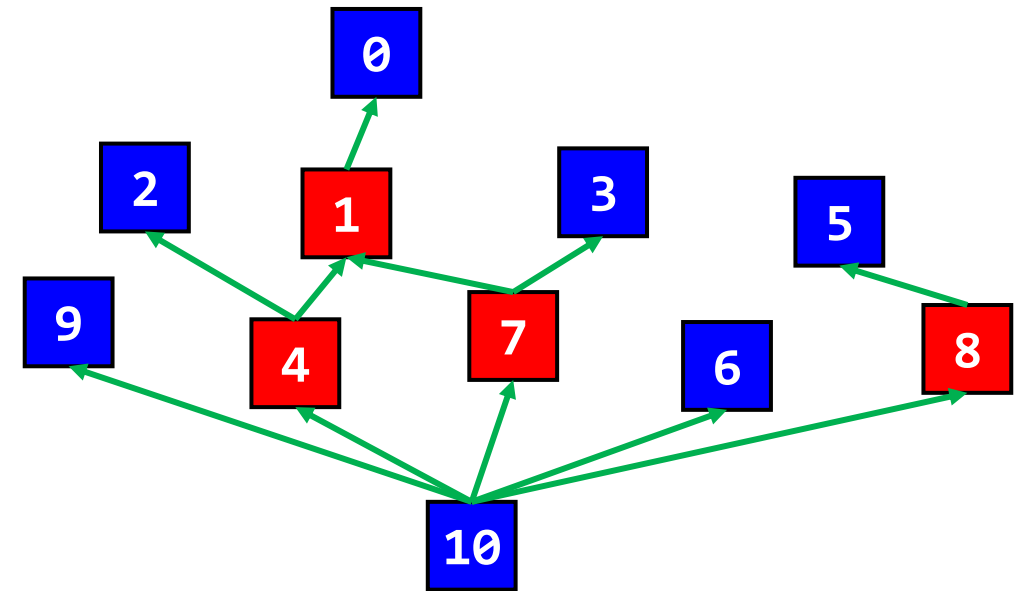
← 0, 2, 3, 5, 6, 9  
 ← 1, 3, 5, 6, 9  
 ← 3, 6, 8, 9  
 ← 4, 6, 7, 9  
 ← 6, 9  
 ← 10

# Alternative Approach

- **Scheduling from backwards ...**
  - Schedule the finish times instead of the start times



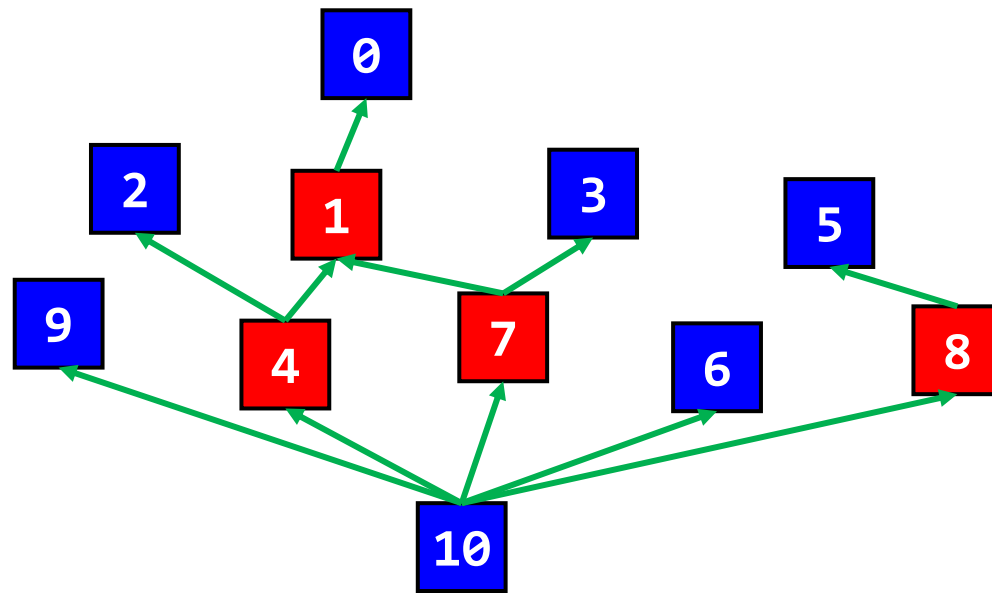
**Forward Scheduling**



**Backward Scheduling**

# Backward List Scheduling

- Reverse the direction of edges & schedule the finish time
- This can be randomly good or bad ...



**Add** takes two cycles;  
**Others** take one cycles



0	5
1	3
2	8
4	7
6	9
10	



0, 5



1, 3, 5



2, 3, 8



4, 7, 8



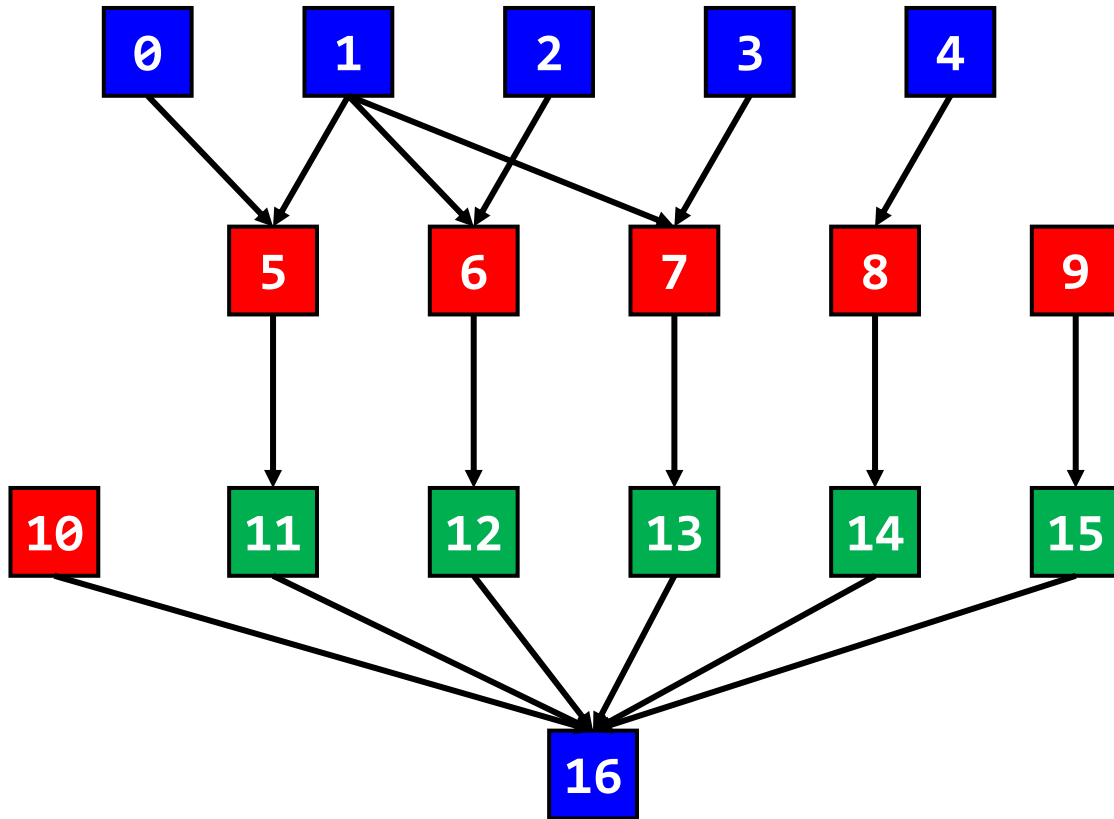
6, 9



10

# List Scheduling (Forward Scheduling)

Assume pipelined HW

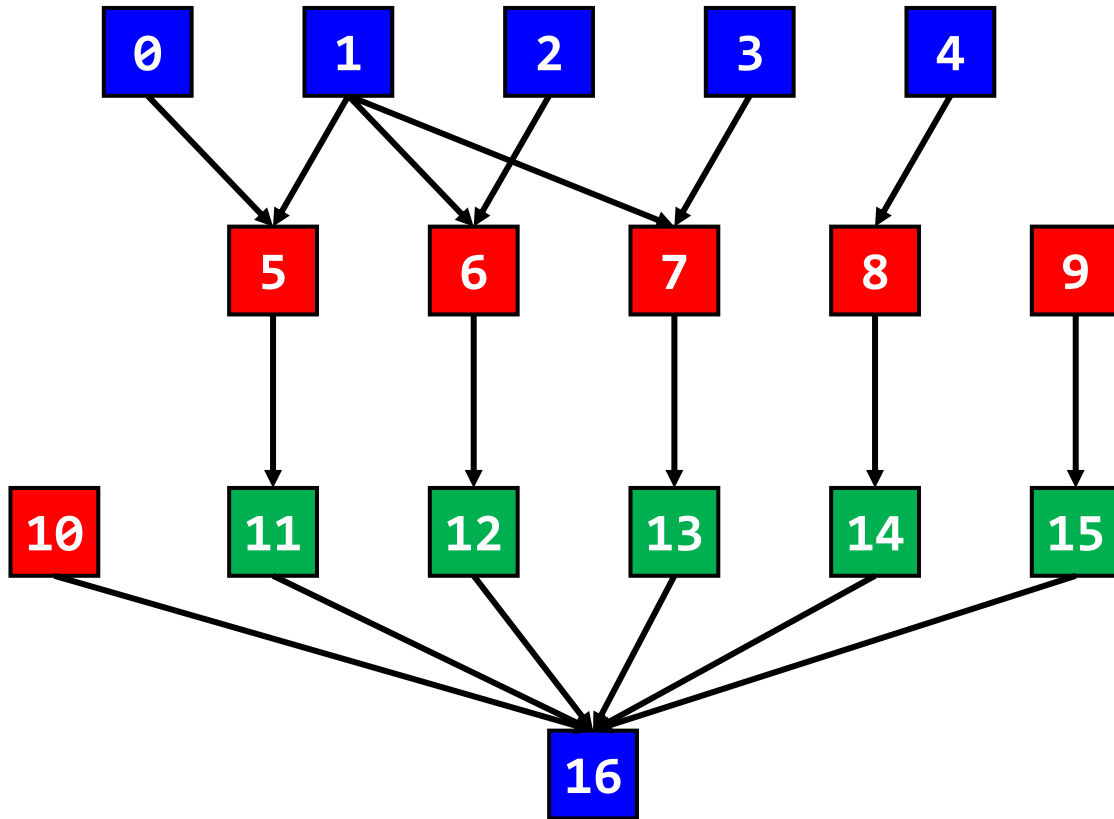


1 cyc	2 cyc	3 cyc
0	9	
1	10	
2	5	15
3	6	
4	7	11
	8	12
		13
		14
		16

0, 1, 2, 3, 4, 9, 10  
 1, 2, 3, 4, 10  
 2, 3, 4, 5, 15  
 3, 4, 6  
 4, 7, 11  
 8, 12  
 13  
 14  
 -  
 -  
 16

# List Scheduling (Backward Scheduling)

Assume pipelined HW



1 cyc	2 cyc	3 cyc
4		
3	9	
1	8	
2	7	15
0	6	14
	5	13
		12
		11
	10	
		16

4  
 3, 4, 9  
 1, 3, 8  
 2, 7, 15  
 0, 6, 14, 15  
 5, 13, 14, 15  
 12, 13, 14, 15  
 11, 12, 13, 14, 15  
 10  
 -  
 16

# Advanced Approaches

- **RBF scheduling:**
  - Schedule beach block  $M$  times forward and backward
  - Break ties randomly for each trial

# Basic Block Scheduling

- **Basic block scheduling**

- List scheduling
- Interaction between register allocation and scheduling

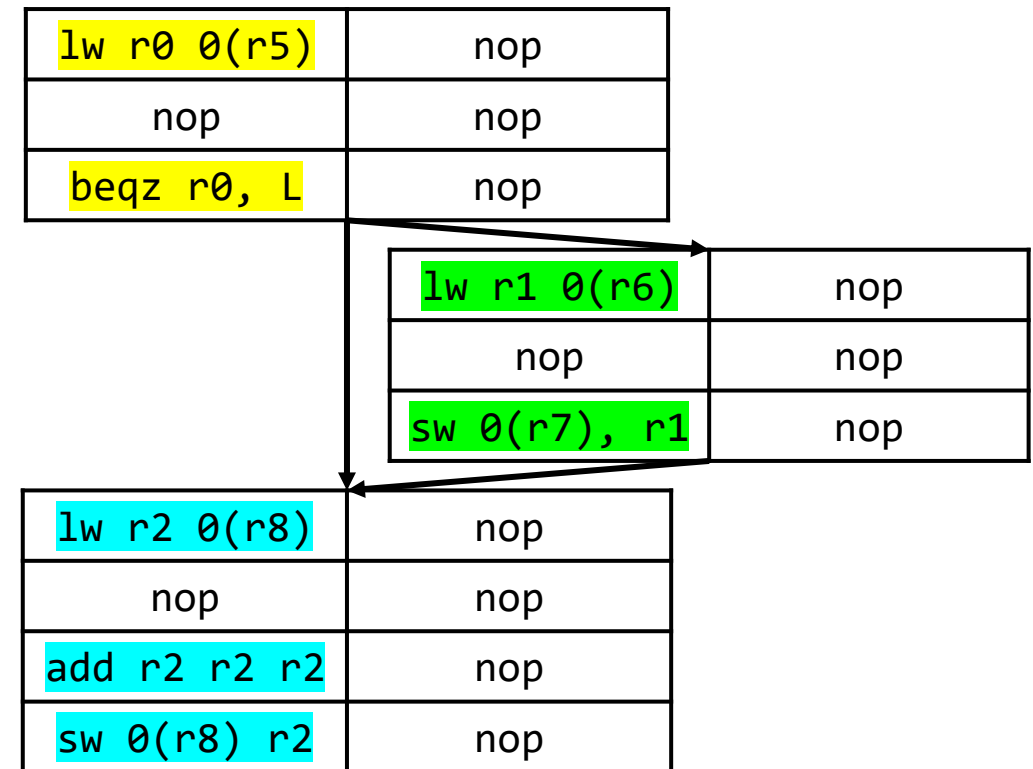
- **Global scheduling**

- Cross-block code scheduling

- ~~**Software pipelining**~~

# Global Scheduling Example

- **Machine Model:**
  - Fully-pipelined execution path
    - LD takes two cycles + Others take one cycle
  - Two parallel general-execution path



# Basic Features

- **Control equivalence:** If  $i1$  and  $i2$  are control equivalent  $\rightarrow$  if  $i1$  is executed if and only if  $i2$  is executed
- **Speculation:** An instruction is speculatively executed if it is executed before all the control-dependent instructions have been executed
  - $\rightarrow$  As long as there are (1) no side-effects, (2) no exception, (3) does not violate data dependence

# Code Motion

- **Goal: Probabilistically reduce the execution time based on the frequency of the execution**
- **There are two different options:**
  - You may either move the instructions downwards to successor basic blocks
  - You may also move the instructions upwards to predecessor basic blocks

**Problem: to where and how to move the instructions?**

# Global Scheduling Basics

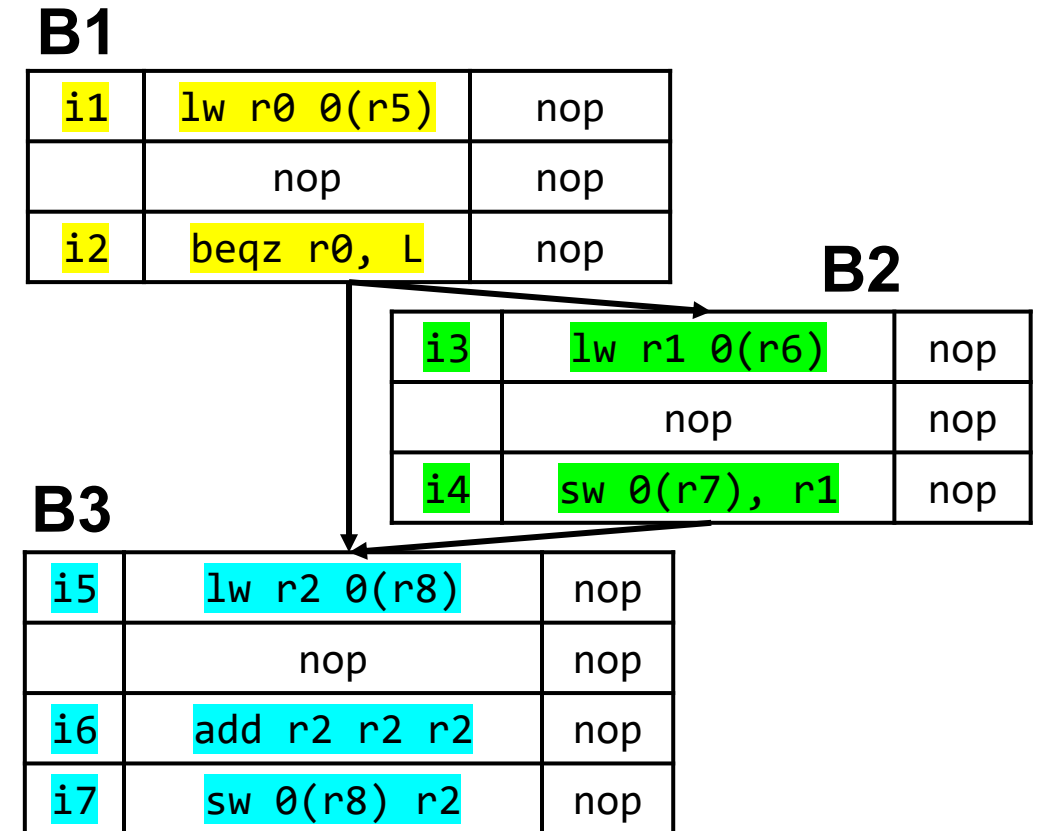
- **Schedule innermost loops first:**
  - The instructions should escape from the most executed basic blocks
- **Apply upward code-motion to the following two options:**
  - **Non-speculative:** A control-equivalent block
  - **Speculative:** A control-equivalent block of a dominating predecessor

# Scheduling Algorithm

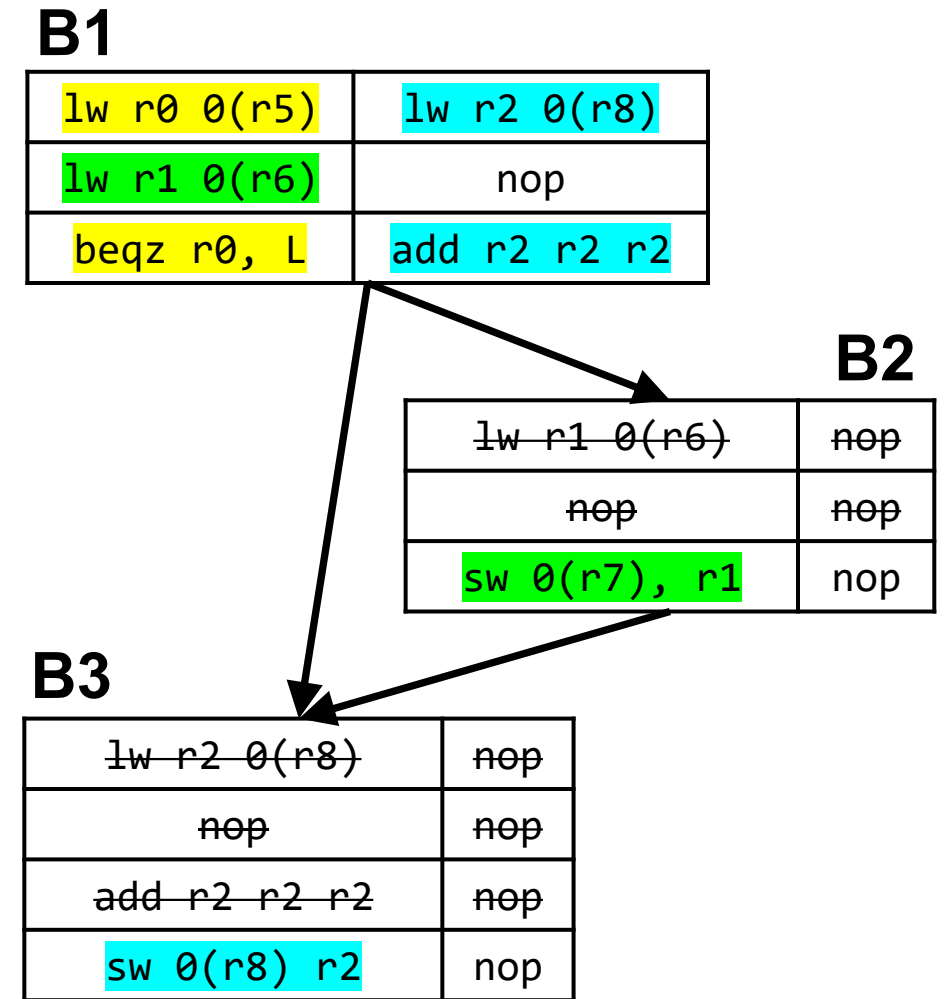
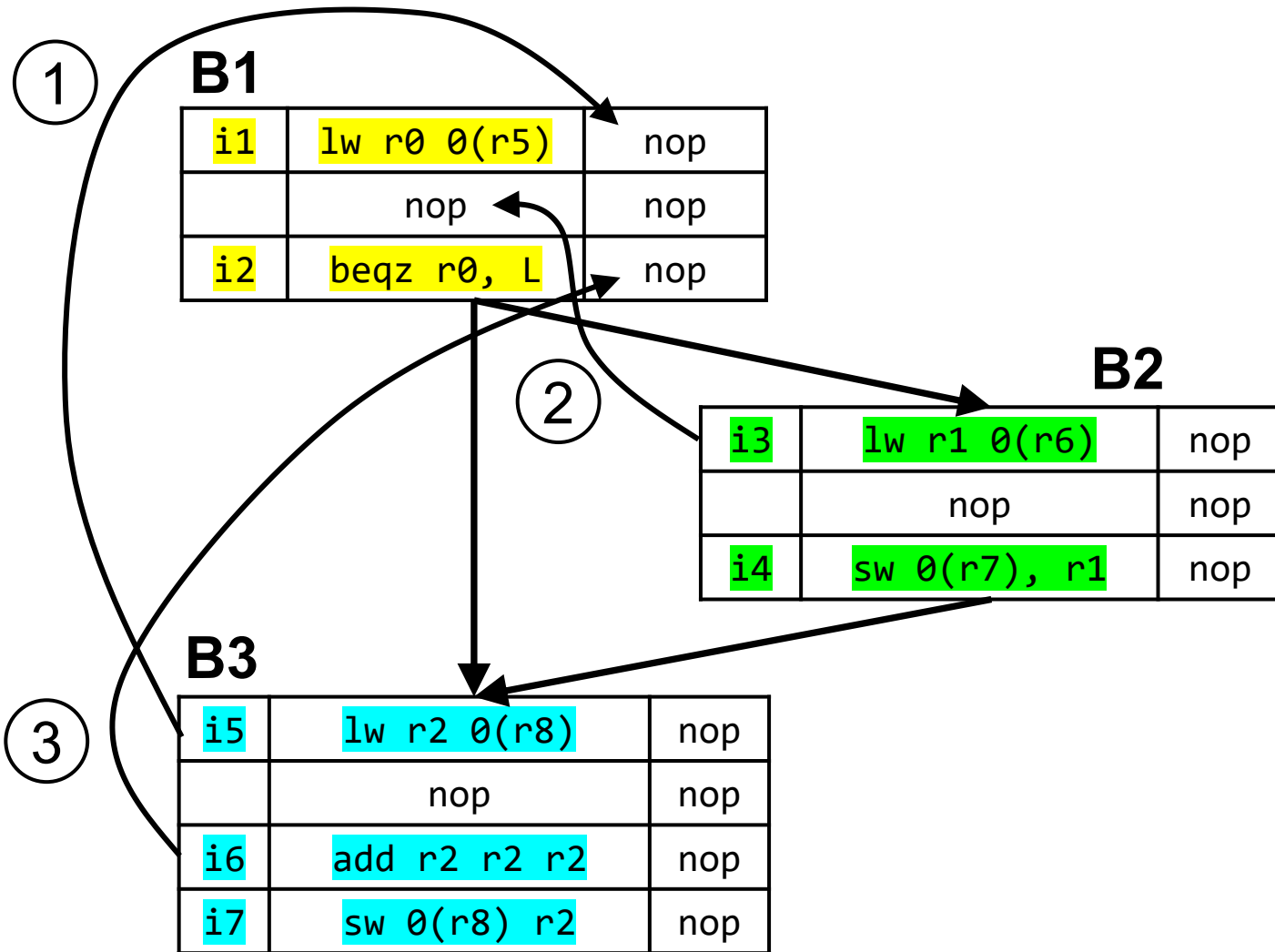
```
Compute data dependences;
For each B in BB list in R (in topological order w/o back edge) {
    CandInsts = ready instructions in NonSpeculative(B) U Speculative(B)
    // All the incoming dependences have already been scheduled
    For t slots until all the instructions in B has been scheduled {
        For n in CandInsts in priority order {
            // Prioritize non-speculative over speculative
            if (ok to move n to B && n has no resource conflicts @t) {
                // OK: do not speculatively move exception & store ...
                // Same as the List Scheduling
                Schedule the inst to (B, t)
                Update resource commitments & dependences
            }
        }
    }
}
Update CandInsts // some insts may become ready!
}
```

# Scheduling Example

- **Machine Model:**
  - Fully-pipelined execution path
    - LD takes two cycles + Others take one cycle
  - Two parallel general-execution path
- **Priority order: B1, B2, B3**
- **Control equivalence: {B1, B3}, {B2}**
- **Non-speculative(B1) = {B1, B3}**
- **Speculative(B1) = {B2}**
- **Candidates = {i1, i3, i5}**

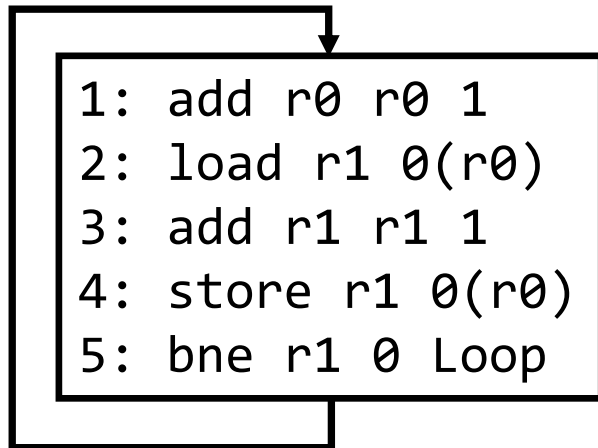


# Scheduling Example



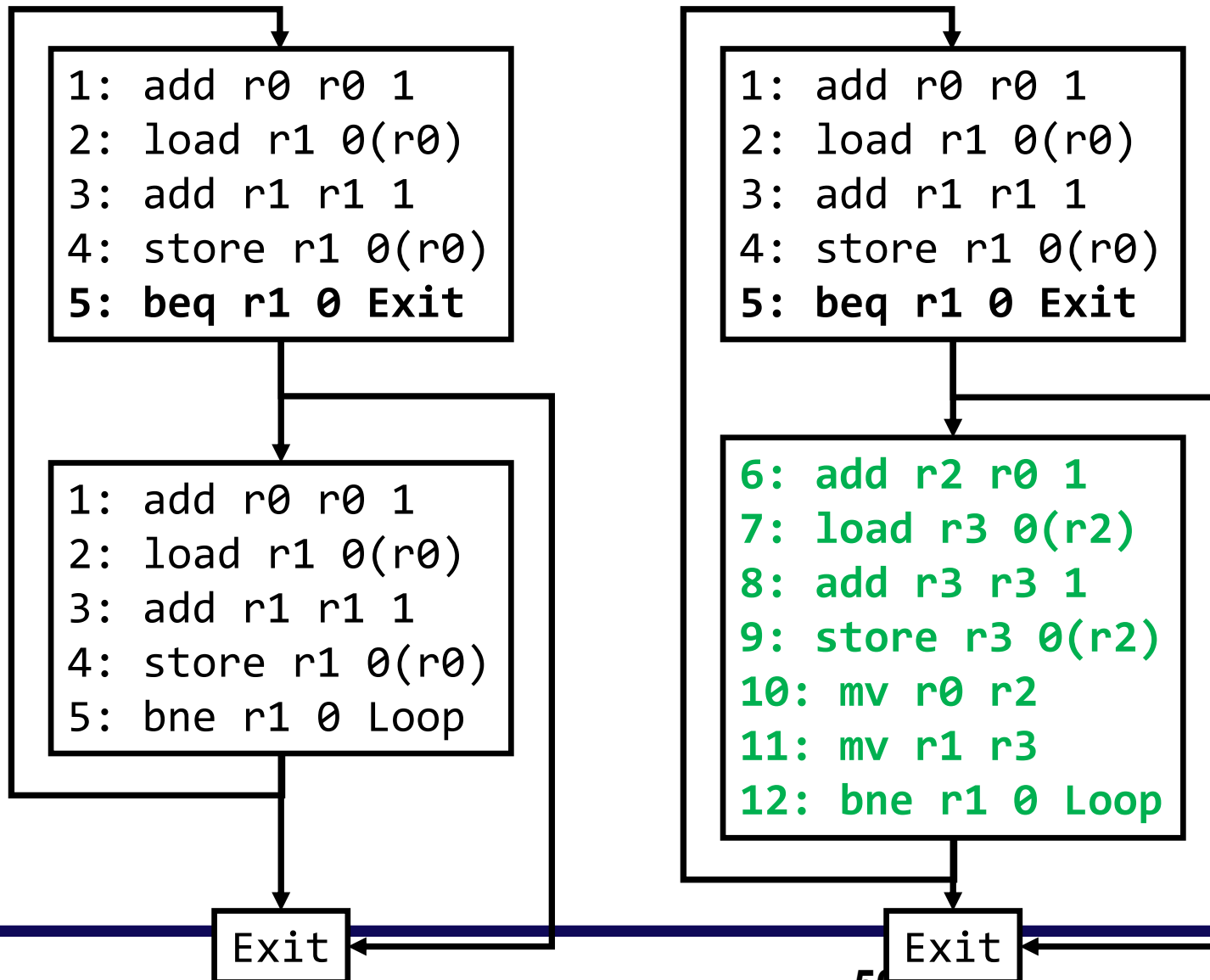
# Unrolling and Instruction Scheduling - 1

- Assumption:
  - Two general purpose pipelines
  - (mem + alu take two cycles) & (branch & copy take one cycle)
- Unrolling enables a new scheduling opportunities



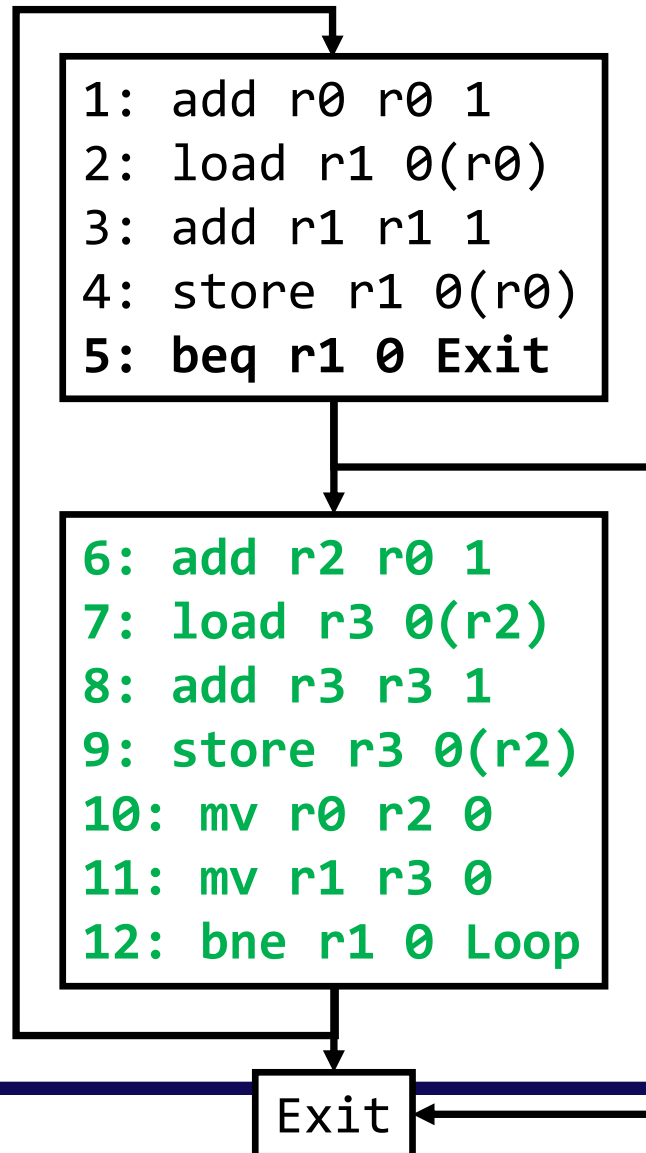
Path0	Path1
	1
2	
	3
4	5

# Unrolling and Instruction Scheduling - 2



**Register renaming  
to remove false  
dependencies**

# Unrolling and Instruction Scheduling - 2



Path0	Path1
1: add r0 r0 1	-
2: load r1 0(r0)	6: add r2 r0 1
3: add r1 r1 1	7: load r3 0(r2)
4: store r1 0(r0)	5: beq r1 0 Exit
8: add r3 r3 1	10: mv r0 r2
11: mv r1 r3	9: store r3 0(r2)
12: bne r1 0 Loop	